

***December 4, 2024 - Update #3

RFP# AACA2402 Central Warehouse Demolition: Addendum

Section 1. RFP Process Questions and Clarifications about submission requirements.

- 1. Request Bid Extension to allow contractors and prospective subcontractors additional access and time to review and bid the project. (#1 Most FAQ)
 - a. The due date has been extended to December 11, 2024.
- 2. What is the anticipated award/notification to proceed date?
 - a. This is dependent on the number of responses we receive and the time it takes to review them all. While the Alliance would prefer to review and make a recommendation to the board of directors within 1-2 weeks, it could take us longer.
- 3. Does the \$13M cost-not-to-exceed include Construction Manager/General Contractor cost as well as currently unknown Amtrak/CSX costs?
 - a. The \$13M not-to-exceed is a final, all-in number that cannot be surpassed. If scope variations with specific benefits can be conceived but to do so brings the total cost above the \$13M ceiling, they can be offered as ad-alternates to the proposed scope. However, the respondent must still submit a completable scope for the project that achieves the desired results and will not exceed the \$13M cap.
- 4. If the response exceeds \$13M, will it be disqualified?
 - a. Yes. Optional improvements to a base scope that cannot exceed \$13M are allowed as ad-alternates, however the proposal for the general project scope detailed within the RFP must be completed under the cost not to exceed amount.
- 5. Please provide anticipated payment terms upon submittal and approval of monthly AIA invoices.
 - a. Net 30

- 6. The RFP asks for proposals from qualified construction management, engineering, and project management companies to act as a "General Contractor" on behalf of the Alliance, to include the team they are prepared to sub-contract for abatement, demolition and any other work needed to complete the project. Can you clarify this approach's intent?
 - a. The intention of the approach illustrated within the RFP is 2-fold: 1) the Alliance is a relatively small organization focused on regional economic development and growth. It does not employ engineers or project managers who have the specific expertise needed to make important decisions as to the process, methodology, or execution of a complex abatement and demolition plan and scope. 2) Given the hard cap on available funding to complete the project, the Alliance is hopeful that this approach lends itself towards collaboration among the many different contractors and vendors required to complete this scope. While not called out specifically as a "design build" contract within the RFP documents, the Alliance is hopeful that a team of contractors who will propose a best path forward and at a price within the stated cost not to exceed amount, offers the greatest chance of successfully completing the project.
- 7. Will the Construction Manager/General Contractor be "At Risk" such that they will hold all subcontracts (i.e.- abatement, demolition, third party asbestos project monitoring, etc.) for work performed? Or will such specialty contractors (ie abatement, demo, etc.) be contracted directly with the Alliance and the CM/GC will be an "Agent" for Alliance?
 - a. The following attempts to clarify the root confusion underlying many of the questions we received related to partnerships and JV structures.
 - i. An Owner's Rep or Agent would be a separately contracted individual who is solely responsible to the owner who hired them to act in their interests in an oversight capacity for the entire project. The subs would also be contracted by the Owner under this scenario.
 - ii. An At-Risk GC is a contractor who holds all sub-contracts themselves and acts as an intermediary between the various sub-contractors and specialized vendors and contractors to efficiently run the project motivated by their risk of not being able to complete the project at the agreed upon cost which cannot be exceeded and because they hold the subcontracts, they must work out any shortfalls with the subcontractors themselves.

The Alliance has decided to work with a respondent that proposes the second, at Risk, model due to Public Authorities Law procurement requirements of the Alliance. If the respondent instead proposes the first model where the Alliance would need to hire the subcontractors and the

respondent acts as agent on behalf of the Alliance, it would require us to issue additional RFP's for each contracted vendor.

- 8. The RFP appears to request that a CM at Risk is the prime bidder on this project. Please confirm a contractor can bid prime with a design/CM company as a Joint Venture or dedicated subcontractor. The Design/CM company will be responsible for general oversight, engineering as well as all quality control inclusive of waste tracking and monitoring.
 - Yes, a contractor can bid prime with a design/CM company as a JV partner.
- 9. Alternatively, please advise whether a qualified demolition and/or abatement contractor would be able to submit a response as the Construction Manager or General Contractor, potentially supported by an engineering and/or construction management firm and other qualified project partners?
 - a. Yes, those scenarios would be allowed and reviewed. The one caveat is that the 3rd party independent testing/air monitoring would need to be hired under a different contract than the company handling the abatement. While we can't guarantee a GC or CM can separately hire a 3rd party monitoring company and an Abatement contractor under different contracts, the Abatement Company will not be able to hire the 3rd party monitoring company per DOL rules.
- 10. Please provide anticipated start date for onsite work as well as any completion date constraints. (Please note, if asbestos abatement is required to be performed during the winter, significant temporary heating costs would be required to satisfy NYSDOL Code Rule 56 Regulations.)
 - a. Responses are expected to include some form of a project timeline that would include a start date and ending date for on-site work. Given the number of steps that must be completed prior to beginning abatement and site work, we wouldn't anticipate getting on-site before Q2 2025, however, we are open to all and any possibilities with or without required heating to deal with freezing temperatures.
- 11. Are there certain schedule milestones for project start, duration, and/or completion?
 - a. It's anticipated that the project will be substantially complete within a 12-16 month range. However, due to 3rd party involvement, such as Amtrak, it is possible the process takes longer still.

- 12. Would the contractor be required to perform any structural assessments of the building or railway structures during the course of the project?
 - a. The Alliance has provided all the information it has related to the condition of the building. If a contractor feels additional testing is required to ascertain the integrity of any components outside the building or on a floor that was unable to be inspected in person, it should be included in the proposed project budget and accounted for in the corresponding timeline.
- 13. Would the contractor be required to perform vibration monitoring during the project?
 - a. Not unless it's required by a permitting authority.
- 14. Will the contractor be required to decommission the existing monitoring wells in accordance with local/state/federal regulations or will the contractor be required to protect and maintain the monitoring wells during the course of the project?
 - a. The Alliance has not had any direct conversations with DEC or another agency about the monitoring wells located near the building. Without more information, we would caution against interfering with DEC equipment under any circumstances unless site conditions require it. If so, the cost to coordinate the removal of the wells by their owner should be included in the proposal.
- 15. Would the contractor be required to submit a completion report summarizing work activities, recycling/waste disposal activities, waste certificates, etc.?
 - Yes. Contractors are encouraged to read the entire RFP document, paying close attention to the section that specifies Contractor Requirements.
- 16. Please confirm if the demolition includes removal of the at-grade slab and/or any below-grade features.
 - a. Removal down to top of concrete slab to the ground floor of Center Street.
- 17. Would the contractor be required to perform any pre- or post- demolition surveys as part of the work?
 - a. The Alliance provided all the surveys and due diligence materials it has available to it. If a respondent requires additional surveys to successfully plan and complete the project, such additional surveys must be included as part of the proposed scope and budget.
- 18. Union, Non-Union, up to bidder?
 - a. The Alliance is waiting for further clarification about the prevailing wage requirements of this project. Once such information is made available to us, we will immediately share it with you. For the purposes of providing a

cost for labor, it is advised that respondents consider the demolition scope be assumed at prevailing rates and the abatement not be. The respondent may then decide to include an ad alternate for the abatement to similarly be included in the budget at prevailing wage.

- 19. The RFP does not specify a prevailing wage requirement. Will prevailing wages be mandated, and if so, will it apply only to on-site activities or also to off-site tasks (i.e. trucking and hauling)?
 - a. See question number 18. We have not been provided with any additional information beyond what we have relayed in the previous answer. It is likely that the entire demolition contract needs to be priced at prevailing wage, including the trucking and off-site costs.

20. Cost of Demo permit?

- Contractor will be responsible for obtaining information related to the cost and process of obtaining all required permits by law, including Cityissued demolition permits.
- 21. Who supplies the variances?
 - a. All variances must be identified and secured by the contractor prior to pulling the required permits. Variances have not been identified by the Alliance.
- 22. Who is responsible for chain link fence?
 - a. Chain link fence, barricades and all site conditions/infrastructure necessary to maintaining the safety and well-being of contractor, their employees, subs, and the general public are the responsibility of the awarded respondent. Obtaining permits for fencing and barricades are also the responsibility of the contractor.
- 23. Please confirm the MWBE/SDVOB percent participation goals for this project? Goals or strict requirements?
 - a. Please refer to the appropriate section of the RFP document.
- 24. Specify elevation grade for removal of concrete foundation structure from grade surface?
 - a. Top of slab at the ground floor.
- 25. Please confirm site restoration requirements (filling voids, seeding/mulching, fencing, etc.).
 - a. Filling any voids in the slab with gravel, level all the way across.
- 26. Please provide details for any site restoration requirements such as providing and installing general fill, gravel, bituminous paving, topsoil, and hydroseed.

- a. Grade and gravel unless better options are available within our budget.
- 27. Would the contractor be required to maintain existing site fencing for the perimeter of the site during the course of the project?
 - a. Yes, unless contractor feels it isn't sufficient or useful for its intended purpose. If different fencing should be installed instead, that cost should then be taken into account within the budget instead of maintaining the existing fence if it is meant to be taken down.
- 28. Would the contractor be required to provide security as part of the project (24/7, part-time site security, etc.)?
 - a. The awarded respondent will be responsible for protecting the worksite and public safety through whatever means it deems necessary. If that includes security, it must fit within the capped cost not to exceed amount.
- 29. Does the \$13M cost-not-to-exceed include Construction Manager/General Contractor cost as well as currently unknown Amtrak/CSX costs?
 - a. Yes. The Alliance understands the difficulty in assessing the costs associated with requirements from the RR, however it is the amount of money we must work with and we're all operating with the same understanding of what is a known cost and what is potentially hanging over the project.
- 30. Please note, Section 3(b)(iii) of the RFP states that the Asbestos Abatement contactor is to provide air quality monitoring during abatement activities NYSDOL Code Rule 56 prohibits the abatement contractor to hire the third-party asbestos project monitoring firm. (The third-party asbestos project monitoring firm is typically hired by the Owner or Construction Manager/General Contractor.)
 - a. Correct, please note that there may be a mistake in the RFP that requests the Abatement Contractor to hold the contract for the 3rd party monitoring service. As pointed out above and in previous answers, the Abatement Contractor and the 3rd party monitoring contractors must not be contracted together.

Section 3. Questions related to the Railroad and/or surrounding neighborhood.

31. Please provide all correspondence with adjacent railway owners regarding any potential legal actions, coordination, reporting, environmental actions, or required documentation prior to, during, or following completion of demolition activities.

- a. The Alliance cannot confirm any information that may or may not have been discussed in prior conversations with the previous owner and Railroad. The Alliance is establishing contact with the RR shortly.
- 32. Will the adjacent railway owners require the contractor to obtain Railroad Protective insurance, if so, what are the terms?
 - a. Coordination with railroad owners and the procurement of any policies or coverage to satisfy the requirements of the R.R. owners should be handled by the awarded respondent on this project.
- 33. Is there a schedule for the rail service available?
 - a. No, the awarded respondent will need to coordinate the work with the railroad directly.
- 34. Who governs the safety and access to the adjacent RR?
 - Details involving the Railroad and any related liability need to be discussed and addressed with the Railroad operators and ownership directly.
- 35. Do you have any contact information for the owners of the adjacent RR?
 - a. Virginia Hart, Senior Manager(215) 349-3658Virginia.hart@amtrak.com
- 36. In our past project experience, Amtrak does not provide any work easement requirements, as well as cost implications, until a contract with Amtrack is secured. As such we would only be able to provide budget pricing for any Amtrak requirements.
 - a. The Alliance is establishing communication with Amtrak to have more information to be able to share with the awarded respondent.
- 37. Is the Alliance aware of any restrictions or requirements, specifically regarding stabilization of the railroad embankment and whether temporary or permanent structural shoring would be required to remove the buildings below grade foundation systems.
 - a. We do not have any additional information on the railway as it relates to stabilization or structural requirements. This would need to be discussed directly with the Railroad and demolition plans would need to be approved by all potentially impacted parties.
- 38. Please confirm if the demolition includes removal of the overhead RR spur/bridge/bridge base leading into the building located on Center Street.

- a. This will be dependent on numerous factors, including:
 - i. maintaining required stability and structural capacity for current rail operations to continue unimpeded once the spur is removed.
 - ii. The cost associated with removing the spur to a specific length.
 - iii. Feedback from the City and Railroad as to whether such a removal is feasible or desirable.
- 39. The track spur line entering the building to the southwest will be terminated where it enters the building and is not required to be removed back to the southern concrete footing?
 - a. See answer to question above.
- 40. Can the area including Montgomery Street "Right of Way" to the west and adjacent parcel identified as 146 (12,935 square feet be utilized as laydown and rubble processing area?
 - a. This area is owned by the City of Albany. The Alliance cannot speak on behalf of the City or to any existing permits already pulled by the current owners providing a staging area for the work. This would need to be discussed directly with the City.
- 41. Has the site owner been in contact with adjacent property owners and have any adjacent owners expressed concern or questions regarding the planned demolition?
 - a. The current owner has not informed us as to whether they have spoken with any of the neighbors. Alliance staff who were present at the walkthrough did speak with the owners of the new dispensary being built across Center St. from the Warehouse. We have their contact information and have assured them that we would be contacting them (both directly and through our contractors) to provide a schedule of work as one is developed. It may be necessary for the Alliance to host one or two open informational sessions prior to project commencement to present the demolition plan and answer questions upfront. At least one representative from the prime bidder would be expected to support Alliance Staff at such a meeting if it were ultimately held.

Section 4. Questions related to Studies, Site Conditions, Utilities, and other Due Diligence Materials

42. Have the Utility disconnected been completed? Water Sewer, Gas and Electric.

- a. Uknown.
- 43. Please confirm what utilities are present at the site and if any utilities have been decommissioned in accordance with utility owners?
 - a. Uknown.
- 44. Is the building condemned?
 - a. Unknown
- 45. Are road closures allowed?
 - a. Unknown. Road closures would need to be coordinated with the City of Albany.
- 46. Can the building be imploded after abatement? Will imploding be allowed (generally)?
 - a. The awarded respondent will be required to secure all permits and approvals prior to commencing demolition and therefore, should have a degree of independent certainty that the scope proposed is feasible. Remember: the cost of the project is capped at \$13M, which cannot be impacted if the methods and means proposed in the awarded response later prove not to be feasible.
- 47. Can respondents request access to upper floors for asbestos abatement contractors to review current conditions and quantities?
 - a. No.
- 48. Does any cork/ACM exist between the floors (not including what has delaminated and sitting on the floors) or is this material just on walls, ceilings and columns?
 - The Alliance has no further knowledge of the location and nature of Asbestos Containing Materials (ACM) beyond what is already available in Due Diligence materials
- 49. Considering access to the upper floors (above the 1st floor) was not allowed, could you please provide as many pictures as possible of the upper floors including the roof?
 - The Alliance has not been provided any information or pictures that were not already provided to prospective respondents in the shared due diligence folder.
- 50. There appears to be a residual material on walls/ceilings where the cork has fallen off (pictures 19 & 22 in the Property Photos file). Is this residual material asbestos?
 - a. The asbestos survey and report provided includes all the information the Alliance can provide.

- 51. The Alpine Environmental Services Report of Asbestos Inspection lists asphalt over cork on Shaft walls (multiple floors). Where are these shaft walls? Are these shaft walls exposed? How large are they? Not having access to the building would it be possible to provide photographs of this?
 - a. See Question 49 above.
- 52. The Alpine Environmental Services Report of Asbestos Inspection lists Pipe and Pipe Fitting Insulation Debris as the entire 1st floor (46,408 sf), are bidders to consider all the construction debris (CMU block, concrete, wood, etc.) piled on the 1st floor as friable ACM?
 - a. All the information we have available to us is provided in the Due Diligence folder and found in the Asbestos survey. If not, we do not have an answer.
- 53. Please advise whether utility identification, cutting, capping, and/or safely rerouting should be included in our response to the RFP. If so, please provide any known existing utility information as well as specific requirements.
 - a. The Alliance has no additional information to provide.
- 54. What are the requirements for cutting and capping of potable water and sanitary lines?
 - a. Please refer to City of Troy DPW regulations and requirements.
- 55. Please verify site property boundaries for the current owner and areas that will be available for staging/support.
 - a. A preliminary survey, prepared on 11/8/2022, is included within the Due Diligence materials provided to registered respondents. Property lines are clearly depicted on the survey as are the barricades that restrict public access delineate between privately owned land and the City of Albany's land.
- 56. Is the area immediately west of the structure within the fence line (labeled on site figures as Montgomery Street) owned by the site owner and considered available for staging/support? within the fence line (labeled on site figures as Montgomery Street) owned by the site owner and considered available for staging/support?
 - a. Please see question 55 above. No, the Alliance believes that Montgomery St. is owned by the City of Albany and any discussion about its availability as a laydown/staging site must be coordinated by the awarded respondent directly involving the City of Albany through the proper departments.

- 57. Are there cross-sectional plans of foundation, support columns, floors and walls indicating construction methods or steel and re-bar?
 - a. The information shared in the due diligence folder contains all the relevant information the Alliance has in its possession. Please refer to City of Albany plans.
- 58. Please provide all correspondence with federal/state/local regulatory agencies regarding any potential legal actions, coordination, reporting, environmental actions or required documentation prior to, during, or following completion of demolition activities.
 - Any information the Alliance has been provide is included in the due diligence folder.
- 59. Please confirm if a die test has been performed associated with pits/drains potentially leading to the City of Albany sewer and/or storm water systems as noted in the Phase 1 ESA and results of the die test, if performed).
 - a. The Alliance has no knowledge of a die test being performed.
- 60. Alpine provided a report of findings for asbestos and lead, the October 2022 (Report of Asbestos Inspections) indicated 240 square feet of glue dobs in the first-floor mezzanine and 2,500 square feet of glue dobs on the sixth-floor. Have glue dob or other caulking such as window and expansion joints samples been submitted for polychlorinated biphenyls (PCBs) laboratory analysis. If PCBs are present in glue dobs, these areas will need to be abated and segregated and shipped to a permitted disposal facility.
 - a. Any reports that could potentially identify hazardous materials in and around glue dobs, caulking, or expansion joints would be included in the due diligence materials if provided to the Alliance.
- 61. Are there any backfill restoration requirements based on security and redevelopment?
 - a. Redevelopment plans for the site will be developed through a broader planning process following demolition. Our goal is to leave the site in a safe and evenly graded condition that allows for the widest range of uses and designs to be implemented in the future.

62. We understand that PCB-containing transformers were previously removed from the building and not noted as present during a follow up NYSDEC inspection in 2008. Was any sampling of the surrounding building material/concrete in the PCB transformer areas conducted to determine if PCB impacts migrated to building materials/concrete? The Phase I ESA noted that oil-stained areas were observed below and adjacent to transformer areas.

a. Unknown

63. We understand water and debris containing PCB impacts were removed from pits, vaults, and brine tanks as noted in the Phase 1 ESA. Was any sampling of the concrete pits, vaults, or tanks conducted following removals to determine if PCB impacts migrated to these structures/areas?

a. Uknown

- 64. A UST containing brine solution was noted but was inaccessible during the Phase 1 ESA. Was there any sampling conducted following the brine solution removal? Has the UST been closed per NYSDEC PBS regulations as recommended by the report in the Phase 1 ESA?
 - a. Please refer to page 30 of the Phase 1 ESA provided. Clean and cap.
- 65. Pursuant to the August 28, 2008 NYSDEC inspection included in the Phase 1 ESA, the NYSDEC noted that "all materials that might have been hazardous have been removed." For the purposes of obtaining apples to apples bids, can bidders assume that all demolition materials (concrete, masonry, and C&D debris, etc.) with the exception of identified ACM, lead paint, and U-waste can be recycled and/or placed in a C&D landfill?
 - a. Once all hazardous materials have been removed, the remaining material is assumed to be eligible for placement in the C&D Landfil. Please refer to all Environmental Reports and Investigations for more information on extent of hazardous materials known. The Alliance cannot confirm or refute these assumptions. It is the respondent's responsibility to assess all the information provided to them to determine an abatement and demolition plan to meet the most likely scenario while protecting itself from the risks of uncertainty.



UPDATED Request for Proposals (RFP) For Construction Management ("CM") & Demolition Services:

Construction Management, Asbestos Abatement, and Demolition of the Central Warehouse

Please note the updated proposal deadline below

Issued by:

Advance Albany County Alliance, Local Development Corporation ("Alliance")

111 Washington Avenue, Suite 100

Albany, New York 12210

Date Issued:

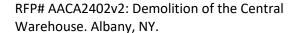
October 30, 2024

Proposals Due:

December 11, 2024, by 4pm

PLEASE BE ADVISED THAT THE ALLIANCE WILL PUBLISH ALL PUBLIC BIDS IN THE FOLLOWING PUBLICATION: New York State Contract Reporter, www.advancealbanycounty.com

IT IS THE RESPONSIBILITY OF THE PROSEPCTIVE BIDDERS TO READ THESE PUBLICATIONS AND CONTACT THE ALLIANCE TO REQUEST FURTHER INFORMATION.





REQUEST FOR PROPOSALS AND NOTICE TO BIDDERS

Date: October 30, 2024

From: Advance Albany County Alliance, LLC

NOTICE IS HEREBY GIVEN THAT SEALED PROPOSALS ARE SOUGHT FOR THE FOLLOWING:

RFP NAME: Abatement & Demolition of the Central Warehouse

SUBMISSION DUE DATE: December 11, 2024, TIME: 4:00 pm

RFP NUMBER: AACA2402

ADDENDA:

Exhibit A: Bid Sheet

- Exhibit B: Restore NY Waste Management Plan Template
- Exhibit C: MS4 Fact Sheet and Contractor Compliance Certification
- Exhibit D: Bidder Responsibility Forms
- Exhibit E: Non-Collusion Proposal Certification

Additional due diligence documents are available for review upon request. Please contact Dylan Turek via email address below to request access to a data room containing the relevant information. Please note: access will be provided to potential RFP respondents only.

CONTACT PERSONS FOR QUESTIONS (all questions shall sent be via email, no phone calls, etc.):

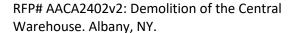
Dylan Turek, Sr. VP of Development: dturek@advancealbanycounty.com

ADDRESS TO WHERE PROPOSALS SHALL BE DELIVERED:

Advance Albany County Alliance LDC
Attn: Dylan Turek
111 Washington Avenue, Suite 100
Albany, NY 12210

VENDORS MUST SUBMIT PROPOSALS IN A SEALED ENVELOPE. PLEASE PRINT ON THE ENVELOPE: 1) NAME AND ADDRESS OF BIDDER; 2) RFP NAME AND NUMBER.

If mailing or sending via overnight delivery, bidders are urged to mail/transmit submissions well prior to the submission date/time deadline to avoid delivery service issues which could make a submission late and consequently, not responsive. Any and all submissions received after the Submission Due Date/Time will not be accepted and will be returned unopened to the bidder. Bidders are cautioned that overnight





delivery services may not make your delivery in time. Bidders assume all risks related to any and all delivery issues/problems and are fully responsible to make sure their respective proposals are received by the Alliance before the Submission Due Date/Time identified above.



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1. Introduction

The Advance Albany County Alliance LDC (the "Alliance") is soliciting proposals from qualified construction management, engineering, and project management companies (the "Respondent") to provide comprehensive construction management, abatement, and demolition services for the Central Warehouse building located at 143 Montgomery St. Albany, NY 12207.

Acting as a General Contractor on behalf of the Alliance and in support of its mission, respondents are expected to identify and include the team they are prepared to sub-contract for abatement, demolition and any other work needed to complete the project scope within their RFP response. Sections of the RFP response that require the respondent to provide information on themselves or their experience should include the same for each subcontractor on the team.

Prospective respondents should be aware that the funding to abate and demolish the Central Warehouse building originates from two primary sources: the special project category of Empire State Development's Restore New York Communities Initiative (Restore NY) grant program, and State and Local Coronavirus Fiscal Recovery Fund American Rescue Plan Act (ARPA) funding. The total budget for this project is \$13 million, which represents the total highest cost that the Alliance will cover for the project as described herein.

The Alliance understands the burdens associated with both the preparation and review of full proposals. Certain requirements of this RFP are included to ensure only qualified and prepared respondents are considered, including the requirement to identify and include demolition contractors, abatement professionals, and third party environmental testing service providers as part of the respondent's team.

During the 4-week response period, the Alliance will provide:

- 1 group tour of the Central Warehouse building.
 - Anticipated date of central warehouse building tour: November 13, 2024 @ 11am.
- the release of at least one addendum answering any questions or requests for clarification received during an open questions period. Please note: once the addendum has been added to the list of published documents as part of this RFP, the Alliance will no longer be taking questions from potential respondents until an awarded proposal is acknowledged.
 - Deadline to receive questions to be answered in a distributed addendum November 15, 2024 @ 4pm

This RFP neither commits the Alliance to execute a contract, nor obligates the Alliance to pay for any costs incurred in the preparation and submission of a response to this RFP, or in anticipation of a contract. The Alliance reserves the right, at its sole discretion, to make selections, to reject any or all submissions, to issue subsequent RFPs, to remedy technical errors in the RFP process and to enter into contract with one or more consultants from the provision of any, all, or some of the services described herein.



2. Project Overview

The Central Warehouse is a highly visible, 11-story, concrete building located near Albany's Warehouse District; a fast-growing and popular neighborhood just north of the downtown Albany business district. Built as a cold-storage facility in 1927, the structure features thick concrete walls, direct access to the adjacent railway line from the 2nd floor spur rails and holds 400,000 sf of interior space. Because of its prominent location along I-787 and near the State Capital Complex of NY, the Central Warehouse building is an eyesore well known to the millions of travelers who pass by the building on an annual basis. Despite numerous attempts to redevelop and reuse the site, the building has fallen into disrepair. Due to its deteriorated condition and related public safety concerns, the Alliance has identified abatement and demolition as the best course of action to remove the eyesore and prepare the property for revitalization.

Following the latest attempt at redevelopment, the Alliance, in direct support of its economic development mission, has agreed to obtain an ownership interest in the property for the purposes of abating any environmental concerns, demolishing the structure in a safe and controlled manner, and repositioning the property for investment and renewed use in a manner that contributes to neighborhood revitalization. The awarded respondent will be expected to act as a General Contractor (GC) representing the interests of the Alliance to oversee the planning, management, and implementation of the demolition project.

As GC, the respondent is expected to initiate and manage all aspects of the demolition, including (but not limited to):

- a. Pre-demolition Assessments: The prior owner has provided several documents related to the environmental condition, SEQRA filing, and related approvals which have been added to a shared folder. Prospective respondents may have access to the shared drive folder upon a request for access emailed to dturek@advancealbanycounty.com. It may be necessary for the awarded respondent to conduct additional tests / secure further approvals. The awarded respondent will be expected to identify any added steps that have yet to be completed or acknowledged, perform any follow-up environmental investigations, structural analysis, SEQRA-related documentation and filing with DEC and other Federal/State/Local regulatory agencies. The Alliance anticipates respondents will include the individual experience of proposed team members (within their response at the appropriate section) working with relevant state and local authorities to successfully obtain required permits and approvals culminating in the successful completion of the project.
- b. Pre-construction: Developing a remediation and demolition plan in coordination and compliance with applicable regulatory authorities and relevant organizations. The plan must include information on where the demolition debris and material will be disposed and that the disposal method and location complies with all relevant laws and requirements. The adjacent rail line is the main line from which Amtrak service provides rail service to Western NY and US locations further west. It is of the utmost importance that rail service is not disturbed by demolition or abatement activities. Obtaining approvals from the owner and operator of the



adjacent rail line is necessary to prevent any loss of service. It is noted that even beyond obtaining approval, proper coordination and communication with rail owners and operators is critical to ensuring demolition activities do not negatively impact rail service.

- Contracting: Identifying and procuring contracts with all necessary subcontractors, 3rd party
 consultants, and any other entities required to complete the project on-time and on-budget.
- d. **Abatement & Demolition:** Completing all abatement and demolition activities of the Central Warehouse per specifications and planning accepted by NYSDEC, the City of Albany, Albany County, the Alliance and any/all Federal, State, and Local regulatory authorities involved in overseeing the project and ensuring workplace safety measures are adequate and incompliance with local, state, and federal regulations.
- e. **Final Site Preparation:** Following successful demolition of the Central Warehouse Building, the GC must leave the vacant site clear of all demolition debris, garbage, and restored per specifications that are to be approved by Alliance staff and any applicable planning/land use boards and regulatory authorities/agencies at the State and Local level. All permits and approvals must be secured prior to the commencement of work, as may be required by Federal, State and Local law.

3. Scope of Services

The awarded respondent will be responsible for managing the following roles with input and assistance from Alliance project management staff and stakeholders. Please note: the following is not intended to be an exhaustive scope encompassing all that will be required as part of abatement/demolition. Additional tasks and services may be required to complete the project as generally defined and respondents are expected to identify any missing items from the scope included within this RFP in their response and accompanying budget.

a. Project Management:

- i) Develop and maintain a project schedule.
- ii) Source, hire, and manage a team of sub-contractors to complete specialized aspects of the project (abatement, demolition, etc.)
- iii) Coordinate with all stakeholders, including the Alliance, local authorities, and subcontractors.
- iv) Prior to proceeding with the demolition of the Central Warehouse Building, the Contractor shall ensure that the following criteria are met:
 - (1) Obtain a work permit for this specific property and scope of work, from the City of Albany. Anticipated fees related to the scope of work being proposed should be included in the total budget number provided as part of the RFP response (See exhibit A: Bid sheet).



- (2) Provide a copy of Contractor's Certificate of General Liability Insurance. Insurance requirements shall meet or exceed the limits provided as stated in section 12 of this RFP.
- (3) Provide proof of NYS Worker's Compensation Insurance.
- (4) A copy of any additional required permits or approvals issued by the City of Albany.
- (5) Written acknowledgement and acceptance of the demolition plan from the owner of any structure, property or equipment within close proximity to the Central Warehouse building and identified as a potential risk of liability included and not limited to all railroad rights of way and infrastructure.
- (6) Written acknowledgement and acceptance of a site remediation plan (if necessary), having met various safety measures to ensure mitigation of friable hazardous materials from contaminating adjacent properties or presenting a public health hazard.
- v) Manage project budgets, ensure efficient use of limited public funding, adherence with all hiring and equality provisions, and contractors to remain on track towards a timely completion.
- vi) Prepare regular payment requisitions to the Alliance at defined intervals and provide all supporting documentation necessary for the Alliance to request reimbursement from its Grantors.

b. Asbestos Abatement:

- i) Review existing surveys and determine if additional testing or investigations are necessary.
- ii) Implement and oversee the completion of all environmental abatement in accordance with DEC, EPA, OSHA, and any applicable state guidelines.
- iii) Provide air quality monitoring during abatement activities.

c. Demolition Services:

- i) Secure all necessary Federal, State, and Local government approvals and permits.
- ii) Implement and oversee demolition activities.
- iii) Ensure compliance with all relevant safety and environmental regulations.

d. Removal of C&D Material:

- i) Develop and implement a plan for the removal, transportation, and disposal of C&D materials.
- ii) Ensure that all materials are disposed of in compliance with local, state, and federal regulations. Please note that the final disposal location and methodology for C&D material must be provided to Alliance staff for approval and confirmation of adherence to Federal, State, and Local requirements.



iii) Provide documentation of disposal activities, including any recycling or reuse of materials (please see Exhibit B for a Waste Management Plan Template that the Contractor will be required to fill out and report on as a condition of the Restore NY grant award).

e. Reporting:

- Conduct regular project team coordination meetings as needed and work with Alliance staff to prepare regular progress reports to the board of directors, funding entities, community groups, and other stakeholders.
- ii) Document all phases of the project, including demolition, abatement, and C&D material removal.
- iii) Complete MWBE and any other reporting required by ESD, Albany County, or any funding agency or regulating authority with oversight of the project. Ensure all OSHA and worker safety measures are followed on site and document any incidents. (See Section 11, paragraph b. i. A)

4. Cost Not to Exceed

The demolition of the Central Warehouse building is made possible only through capital contributions from Albany County and the Capital Region Economic Development Council, who along with Governor Hochul, recognized the importance of the site and its continued deterioration. Albany County is providing \$3,000,000 dollars from its ARPA funding balance, and earlier this year, the Governor announced the State had awarded the demolition project \$10,000,000 from the 2024 funding round of the Restore NY grant program.

Together, the \$13,000,0000 constitutes the balance of the funding the Alliance has made available for the full completion of the Scope of Services outlines in Section 3 of this RFP. Therefore, the awarded respondent must adhere to a strict cost-not-to-exceed arrangement

5. Proposal Requirements

Respondents should prepare concise but thorough Statements of Qualification that not only emphasize the relevant core competencies of their firms (or consulting teams), but also demonstrates a clear understanding of the project scope. Proposals should include the following:

- a. Executive Summary: A clear and concise summary of the respondent's interest in being awarded a contract for this RFP that demonstrates an understanding of the project scope and desired results.
- b. Firm Profile: Detailed information on the firm, including relevant experience, key personnel, and resources. Respondents should highlight any areas of expertise that are relevant to the project and its specific set of challenges. Respondents must include the same information for coapplicants and/or subcontractors who, as part of the core project team, will be responsible for abatement, demolition services, environmental monitoring, and any significant project cost that is identified in the accompanying cost estimate/budget.



- c. Project Team: Names and qualifications of specific project staff that will play the primary roles in this project, including the name of the project manager, demolition lead, etc. must be included.
- d. Project Approach: Description of the proposed approach to the project scope, including the management of pre-construction planning, environmental assessments, coordination with neighboring rail owners, planning, securing approvals, demolition, asbestos abatement, and C&D material removal. Respondents are expected to provide a preliminary schedule.
- e. Experience and References: Briefly describe 3 similar projects and provide references, a project scope, and a budget for each.
- f. Cost Proposal: Respondents must submit a detailed cost proposal including all fees, hourly rates, and reimbursable expenses, that demonstrate the project's feasibility. In accordance with available funding, cost proposals should not exceed \$13 million.
- g. Insurance and Bonding: Proof of insurance and bonding capacity in accordance with project requirements (insurance requirements found in Section 12.).
- h. Hiring Requirements: Ability to meet equal employment opportunity and MWBE considerations (Requirements found in Section 11).

6. Evaluation Criteria

Proposals will be evaluated based on the following criteria:

- Experience and Qualifications: 25%
 - o Relevant experience and the qualifications of key personnel.
- Cost Proposal: 25%
 - o Reasonableness and competitiveness of the proposed budget.
- Approach to Project: 20%
 - Understanding of the project and proposed methodology especially as it relates to keeping the timeline of the project as short and costs as low as possible (within reason and without impacting the final result)
- Past Performance: 20%
 - Success on similar projects and client references.
- Compliance: 10%
 - Adherence to the requirements of this RFP.

Favorable consideration will be given to respondents that:

o Provide the lowest price



- Provide the most responsive bid
- Promise the quickest delivery of contracted services

7. Contract

- a. Term: The tentatively awarded respondent will be required to enter a contract with the Alliance which will include a more defined scope based on the respondent's winning proposal.
- b. Cost: Respondents must use the attached bid sheet (See "Exhibit A") when submitting the total calculated cost to complete the demolition scope provided in this RFP. Please provide in detail any additional expenses that are not covered herein for which the contractor expects to be reimbursed. Respondents must provide a reasonable contingency within total project cost to cover unexpected conditions or expenses that may arise during the contract period.
- c. Not to Exceed (NTE): The total project cost (all professional services, materials, and labor) must not exceed the total amount of funding awarded to the Alliance through grants for this project. Failure to complete the project within the limits of the available grant funding will constitute a breach of contract.

Until a definitive contract has been entered into and executed by the Alliance and the selected proposer which includes all of the requirements and obligations contained in this RFP, any award pursuant to this RFP, shall be considered tentative and subject to cancellation at the sole discretion of the Alliance.

8. Performance and Payment Bonds

The successful bidder shall be required to provide the Alliance with (i) a performance bond guaranteeing the full and complete performance of the scope of work required by this RFP; and (ii) a labor and material payment bond to guaranty the full payment of the scope of work required by this RFP free and clear of any liens, encumbrances, chattel mortgages and conditional bills of sale. Both the performance and payment bonds shall be in a form satisfactory to Alliance in all respects. Failure to meet this requirement will result in revocation of any tentative award to such bidder.

9. Rules, Regulations, Licensing, and other Requirements

The respondent, their staff, and subcontractors shall comply with all laws, ordinances, and regulations applicable to the services contemplated herein, including those applicable to conflict of interest. Respondents are presumed to be familiar with all Federal, State, and Local laws, ordinances, codes, rules, and regulations that may affect the services to be provided. Respondents are to be properly insured and bonded.

10. Equal Employment Opportunity

Respondents agree that there will not be discrimination as to age, religion, race, creed, color, national origin, citizenship or immigration status, sexual orientation, gender identity or expression, military



status, sex, disability, predisposing genetic characteristics, familial status, marital status, or status as a victim of domestic violence regarding obligations, work, and services performed under the terms of any contract ensuing from this RFP. Respondents must agree to comply with Executive Order #11246 entitled "Equal Employment Opportunity" and as amended by Executive Order #11375, as supplemented by the Department of Labor Regulations (41 CFR Part 60). In submitting their responses, respondents are representing that the personnel described in their responses shall be available to perform the services described, barring illness, accident, or other unforeseeable events of a similar nature in which cases the respondent must be able to provide a qualified replacement. Furthermore, all personnel shall be considered to be, at all times, the sole employees or contractors of the respondent under its sole direction, and not employees or agents of Advance Albany County Alliance.

11. Contractor Responsibilities

The successful bidder(s) is/are responsible for complying with all current labor rates and regulations throughout the duration of any contract resulting from this document.

Other Contract Requirements pursuant to the New York Public Authorities Law Project funding is provided through state and federal grants, each accompanied by its own set of requirements that the awarded respondent will accept and adhere to. The following requirements are likely sufficient to meet the same from all project funding sources, however, the Alliance has not yet received funding contract documents and does not claim to know exactly what each will contain until it has received the contracts themselves.

The following is a brief summary of some of the requirements accompanying federally sourced ARPA funds, of which the Alliance has been provided three million dollars (\$3,000,000). Contract language taken directly from related grant documents is included in many of the terms included below and will be explicitly offered in the resulting contract with the awarded respondent.

a. MWBE Promotion

- i) Minority Business Enterprises (MBE) and Woman Business Enterprises (WBE) are to be afforded the maximum opportunity to participate in the performance of the work identified in this RFP.
- ii) In bidding on this contract, the contractor acknowledges an understanding of this policy. The contractor shall carry out the policy by making every reasonable effort to award contracts and subcontracts to MBEs and WBEs and utilizing minority and women labor in the performance of this contract.
- iii) In accordance with 2 CFR § 200.321, the contractor is required to take all affirmative steps set forth in 2 CFR 200.321 to solicit and reach out to small, minority and women owned firms for any subcontracting opportunities on the project, including: 1) Placing qualified small and minority businesses and women's business enterprises on solicitation lists; 2) Assuring that small and minority businesses, and women's business enterprises are solicited whenever they are potential sources; 3) Dividing total requirements, when economically



feasible, into smaller tasks or quantities to permit maximum participation by small and minority businesses, and women's business enterprises; 4) Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority businesses, and women's business enterprises; and 5) Using the services and assistance, as appropriate, of such organizations as the Small Business Administration and the Minority Business Development Agency of the Department of Commerce. Each proposer shall address how it has complied with this requirement in its proposal.

iv) From the Restore NY Funding Award Letter: "Empire State Development's ("ESD")
Contractor & Supplier Diversity policies will apply to this overall project. The Office of
Contractor and Supplier Diversity ("OCSD") will assign Minority- and Women-owned Business
Enterprise ("MWBE") and Service-Disabled Veteran-owned Business ("SDVOB") participation
goals to this project. While ESD's agency-wide MWBE goal is 30% and SDVOB goal is 6%,
your individual project-specific goal may be higher or lower. ESD will contact you for a
detailed scope of work, budget, and information on additional sources of funding in order to
establish the project-specific goals. You shall also be required to use good faith efforts to
achieve the goals assigned to this project. Compliance with laws and the policy of ESD
prohibiting discrimination in employment on the basis of age, race, creed, color, national
origin, gender, sexual preference, disability or marital status shall also be required. Your
OCSD project manager will be in touch to learn more and establish goals for your project."

b. State Historic Preservation Office (SHPO)

i) Due to the building's location adjacent to a listed historic district, pre-demolition coordination with the State's Historic Preservation Office (SHPO) is very important. Alliance staff will assist in coordinating communication with SHPO, however, staff will require information and collaboration with the awarded respondent from time to time.

c. Sustainability

i) From the Restore NY Funding Award Letter: "Empire State Development ("ESD") encourages grantees to adopt sustainable construction practices as part of their Restore NY project. Recycling construction & demolition materials, reusing recoverable materials, and building with recycled content may help municipalities lower project costs, create green jobs, and reach climate goals. As a condition of this award, the Environmental Services Unit at ESD will contact you to schedule a time to talk with you about some sustainable construction practices and how you may be able to implement them into your Restore NY project."

d. Storm Water Management Program

i) Bidder understands that Albany County is a regulated entity subject to the SPDES General Permit for Stormwater Discharges from Municipal Separate Storm Sewer Systems (GP-0-15-003) and must comply with the terms and conditions of the permit. Bidder further understands that under the New York State Environmental Conservation Law, it is unlawful for any person to directly or indirectly cause or contribute to a violation of water quality



standards, and that Albany County adopted Local Law 7 of 2007 enabling the County to take action against any discharges that cause or contribute to a violation of SPDES General Permit for Stormwater Discharges from Municipal Separate Storm Sewer Systems (GP-0-15-003) as well as Albany County Local Law No. 7 for 2007 and any Best County's Stormwater Management Program Plan. Bidder also agrees to implement any regulations, and further understands that any non-compliance by the County will not diminish, eliminate, or lessen Bidder's own liability. Awarded bidder shall execute and deliver to the Alliance, a certification statement acknowledging the above provisions prior to commencing any work (see Exhibit D: MS4 Fact Sheet).

12. Insurance and Security Requirements

The awarded bidder and their co-applicants/contracted partners on the project will be required to procure and maintain at their own expense insurance coverage for the following liabilities at the amounts provided herein:

- Workers' Compensation and Employers' Liability Insurance: Must show evidence of Workers' Compensation insurance at State statutory limit, a policy or policies providing protection for employees in the event of job-related injuries;
- b. Commercial General Liability (CGL): A policy or policies for comprehensive general liability insurance of general liability limits of not less than:
 - i) \$4,000,000 GENERAL AGGREGATE LIMIT (OTHER THAN PRODUCTS-COMPLETED OPERATIONS)
 - ii) \$4,000,000 PRODUCTS-COMPLETED OPERATIONS AGGREGATE LIMIT
 - iii) \$2,000,000 Personal and Advertising Liability
 - iv) \$2,000,000 EACH OCCURRENCE LIMIT
 - v) \$1,000,000 Fire Legal Liability
 - vi) \$10,000 Medical Expense Limit (each person)
 - vii) \$1,000,000 EMPLOYEE BENEFIT LIABILITY
- c. Errors and Omissions/Professional Liability Insurance: A policy or policies of errors and omissions insurance with limits of not less than one million dollars (\$1,000,000). Said insurance must be issued by an insurer licensed to do business in the State of New York and must have an A.M. best rating of not less than "A".
- d. Excess/Umbrella Insurance: A policy of policies of insurance with limits no less than which shall apply to both the CGL and Automobile Liability coverages:
 - i) \$5,000,000 PER OCCURRENCE
 - ii) \$5,000,000 ANNUAL AGGREGATE
- e. Automobile Liability Insurance: In the event that Respondent is using a vehicle in business, a policy or policies of comprehensive automobile liability insurance with limits of not less than



\$1,000,000 for each accident because of bodily injury, sickness, or disease, including death at any time, resulting therefrom, sustained by any person caused by accident; and a policy or policies with limits for not less than \$1,000,000 for damage because of injury to the destruction of the including the loss of use thereof caused by accident and arising out of the ownership, maintenance, or use of any automobiles, including owned, non-owned, and hired.

- f. Each policy of insurance required shall be in form and content satisfactory to the Alliance, and shall provide that:
 - i) Advance Albany County Alliance LDC, County of Albany, NY, and Empire State Development shall be named as certificate holder and additional insured on a primary and noncontributing basis. An ACORD document with the bid number stating such shall be provided prior to project commencement.
 - ii) The insurance policies shall not be changed or cancelled until the expiration of thirty (30) days after written notice to the Advance Albany County Alliance.
 - iii) The insurance policies shall be automatically renewed upon expiration and continued in force unless the Advance Albany Alliance is given sixty (60) days written notice to the contrary.
- g. No work shall be commenced under the contract until the selected proposer has delivered to the Advance Albany County Alliance proof of issuance of all policies of insurance required by the Contract to be procured by the selected proposer. If at any time, any of said policies shall be or become unsatisfactory to the Alliance, the selected proposer shall promptly obtain a new policy and submit proof of insurance of the same to the Alliance for approval. Upon failure of the selected proposer to furnish, deliver and maintain such insurance as above provided, this Contract may, at the election of the Alliance, be forthwith declared suspended, discontinued or terminated. Failure of the selected proposer to procure and maintain any required insurance shall not relieve the selected proposer from any liability under the Contract, nor shall the insurance requirements be constructed to conflict with the obligations of the selected proposer concerning indemnification.

13. Indemnification

The promises in this section are separate from the insurance requirements specified above.

The contract awarding any and all work from this RFP shall provide that each and every Contractor (as used in this paragraph the term "Contractor" shall include any and all subcontractors) who shall agree to perform the Work, or any part of it shall defend, indemnify, and save harmless the Advance Albany County Alliance, Albany County, The City of Albany, their agents, officers, directors, employees and subcontractors, from and against any and all liability, loss, or other claim for damages for death, bodily injury, or property damage, or of any other kind of nature, related to or arising out of the performance of the Work by the Contractor or any agent, servant, employee, subcontractor, or supplier of the Contractor, or of any failure to perform the Work or this contract, or arising in any way as a result of the Contractor's activities or omissions all to the fullest extent allowed by law.



14. Remedy for Breach

In the event of a breach by Contractor, Contractor shall pay to the Alliance all direct and consequential damages caused by such breach, including, but not limited to, all sums expended by Alliance to procure a substitute contractor to satisfactorily complete the contract work, together with the Count's own costs incurred in procuring a substitute contractor.

15. Submission Instructions

Proposals must be submitted no later than December 11, 2024 at 4:00 pm. Proposals should be delivered in person to:

Advance Albany County Alliance, LDC
Attn: Dylan Turek
111 Washington Ave, Suite 100
Albany, NY 12207

- Submissions must include 3 (three) hard copies and 1 (one) electronic copy in PDF format. Late
 submissions will not be considered. The electronic copy must be submitted via email to the
 email address listed above or on a thumb drive included with the proposal submittals. Emailed
 digital submissions that were never received or timestamped before the deadline will not be
 considered.
- Each proposal submitted will be the document upon which the Alliance will make its final judgement regarding each proposer's qualifications, methodology, and ability to provide the requested services.
- Those submitting proposals do so entirely at their own expense. There is no express or implied
 obligation by the Alliance to reimburse any entity, firm, or individual for any costs incurred in
 preparing or submitting proposals, preparing or submitting additional information requested by
 the Alliance, or participating in any selection interviews.
- Submission of any proposal indicates an acceptance of the conditions contained in this Request for Proposals unless the submitted proposal clearly and specifically states otherwise.
- Advance Albany County Alliance reserves the right to accept or reject any and all proposals in
 whole or in part, to waive any and all informalities, require supplemental statements or
 information from any responsible party, negotiate potential contract terms with any respondent
 to this RFP, have discussions with any respondent to this RFP to correct and/or clarify responses
 which do not confirm to the instructions contained herein and to disregard all non-conforming,
 non-responsive or conditional proposals.
- The Advance Albany County Alliance reserves the right to award the services, in whole or in part, to one or more entities, firms and/or individuals.



- Any award of the services shall be conditioned on the later execution of a formal written contract. Advance Albany County Alliance reserves the right to revoke or rescind any award at any time prior to the full execution of a formal written contract.
- Respondents shall avoid submissions of marketing materials.
- All information submitted in response to this RFP is subjected to the Freedom of Information Law (FOIL) which generally mandates the disclosure of documents in the possession of the Alliance upon the request of any person unless the contract of the document falls under a specific exemption to disclosure.

16. Pre-Bid Meeting

Optional Site Visit will begin at 11:00 on Wednesday November 13, 2024. Attendance is optional. Those wishing to attend must RSVP by email to the project contact by November 11, 2024 at 12pm.

17. Questions and Clarifications

All questions regarding this RFP must be submitted in writing to Dylan Turek, dturek@advancealbanycounty.com, no later than 12:00pm on November 15, 2024. Responses will be provided in the form of an addendum to this RFP that will be made available to all prospective respondents.

To be included in correspondence related to this project and its bid process, interested respondents are required to contact the Alliance and provide their contact information, confirming if they intend to submit a response. Please include any questions you would like addressed in the addendum by including them in your request to be included in future correspondence.

18. Modification and Withdrawal of Proposals

Proposals may be modified or withdrawn by written letter delivered to the Alliance and acknowledged by the designated contact persons listed on this RFP. Modifications or withdrawals will only be accepted until the time and date when the RFP submission period ends.

19. Non-Collusive Proposal Certificate and Acknowledgement

Each proposer shall complete and submit with its, his, or her proposal the "Non-Collusive Proposal Certificate" and the "Acknowledgment" (see Exhibit e) included with this RFP.

20. Bidder Responsibility and NYS Finance Law Certification

Each proposer shall complete and submit with its, his, or her proposal the "Bidder Certification" (see exhibit d) and the "Disclosure of Prior Non-Responsibility Determinations" (see exhibit d) forms included with this RFP.



21. Procurement Forms and Requirements

Additional requirements for this RFP are described below. Relevant statements and/or certifications, where required to be submitted, must be executed and included in the bidder's submission.

Pursuant to Federal laws and regulations, the New York State Executive Law Article 15- A and the City of Albany General Code Chapter 48, Article III Omnibus Human Rights Law, the Alliance recognizes its obligation under the law to prevent discrimination on the grounds of race, sex, creed, color, religion, national origin, sexual orientation, gender, age, disability, marital or domestic partner, or English proficiency and to promote opportunities for maximum feasible participation of certified minority and women-owned business enterprises and the employment of minority group members and women in the performance of Alliance contracts.

In furtherance of these rules and principles, the Advance Albany County Alliance highly encourages the participation of certified minority and women-owned business enterprises ("MWBE") at the Albany County's goal levels and the employment of minority groups' members and women in the performance of its contracts. The Advance Albany County Alliance hereby notifies all Consultants submitting a proposal that it will affirmatively ensure that in any contract entered into pursuant to this advertisement, minority business enterprises will be afforded full opportunity to submit proposals in response to this invitation and will not be discriminated against on the grounds of race, sex, creed, color, religion, national origin, sexual orientation, gender, age, disability, marital or domestic partner, or English proficiency in consideration for award.

Further, by submission of a bid in response to this Bid request, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of its knowledge and belief that:

"The respondent/contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, sex, creed, color, religion, national origin, sexual orientation, gender, age, disability, marital or domestic partner, or English proficiency in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The respondent/contractor will not participate directly or indirectly in the discrimination prohibited by the federal, state, and local laws and regulations, including employment practices. In all solicitations, either by competitive bidding, or negotiation made by the respondent/contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the respondent/contractor of the respondent/contractor's obligations under this Statement and the federal, state and local laws and regulations relative to Non-discrimination on the grounds of race, sex, creed, color, religion, national origin, sexual orientation, gender, age, disability, marital or domestic partner, or English proficiency."

Appendices and Required Forms

Please see the below certain forms which must be completed and submitted with your bid to the Advance Albany County Alliance ("AACA").



Contractor:

Address:

Exhibit A: Central Warehouse Abatement and Demolition Bid Sheet

City:

Prepared by:

State:	Zip:
Email:	Phone
Description of Preconstruction Scope:	
Description of Abatement Scope:	
Description of Demolition Scope:	
Description of Demontion Scope.	
Uncategorized Scope:	
· ·	



Description	<u>Task</u>	Qty	<u>Price</u>	<u>Total</u>
Site Conditions	Insurance (total)			
Site Conditions	License Fees, Permits, and Approvals			
Site Conditions	Cost of Bonding			
Site Conditions	Overhead & Profit:			
Site Conditions	Other:			
Site Conditions	Other:			
Site Conditions	Sub total			
Abatement	Location:			
Abatement	Location:			
Abatement	Hazard waste carting and disposal:			
Abatement	Air Monitoring & Testing			
Abatement	Other:			
Abatement	Other:			
Abatement	Sub total			
Demolition	Demo:			
Demolition	Demo:			
Demolition	Demo:			
Demolition	Material Disposal:			
Demolition	Other:			
Demolition	Other:			
Demolition	Sub total			
Site Restoration	Grading and drainage			
Site Restoration	Other:			
Site Restoration	Other:			
Site Restoration	Subtotal			
Uncategorized	Other:			
Uncategorized	Other:			
Uncategorized	Other:			
Uncategorized	Subtotal			
Total Project	(All Sub-totals added			
Cost	together)			
CUSI	together)			

Primary Contact:



Describe the Waste Diversion Goals of this Project:

Project Name:

values.

Exhibit B – Waste Management Plan Template

Waste Management Plan **TEMPLATE**

Describe how this Plan will be communicated to all parties involved:									
Identify the Person(s) responsible for the executing this plan:									
Name/Title	Name/Title Responsibility								
					Complete inventor	y of materials	S		
					Track fate of mate	ials & report	results		
4. Waste Man	agement Pla	an - Summary	Worksheet						
Material	Material	Estimated	Estimated	То Ве	То Ве	То Ве	То Ве	Separation	
Description	Type	Total	Percentage	Reused	Sold/Donated	Recycled	Landfilled /	and	
	(Concrete,	Weight*	of Overall	on-site	(Tons or CY)	(Tons or	Incinerated	Storage	
	asphalt, wood,	(Tons or	Project C&D	(Tons		CY)	(Tons or CY)	Methods	
	metals, dry wall, unrestricted soils)	CY)	materials	or CY)					

*Please be sure that your estimated total weight value equals the summation of the reuse, sold/donated, recycled, and landfilled/incinerated

^{5.} Results - Did this project meet the waste diversion goals? Please describe.



6. Waste Management Plan – Results Table									
Material Description (Same list identified in summary worksheet plus any items that were not originally accounted for)	Material Type (Concrete, asphalt, wood, metals, dry wall, unrestricted soils)	Actual Total Weight* (Tons or CY)	Actual Reused on-site (Tons or CY)	Actual Sold / Donated (Tons or CY)	Actual Recycled (Tons or CY)	Actual Landfilled / Incinerated (Tons or CY)			

^{*}Please be sure that your actual total weight value equals the summation of the reuse, sold/donated, recycled, and landfilled/incinerated values.



Exhibit C - MS4-1 Fact Sheet



FACT SHEET

For

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION SPDES GENERAL PERMIT FOR STORMWATER DISCHARGES from CONSTRUCTION ACTIVITY

Permit No. GP-0-20-001

Issued Pursuant to Article 17, Titles 7, 8 and Article 70 of the Environmental Conservation Law

January 2020

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INTRODUCTION

The New York State Department of Environmental Conservation (NYSDEC) has issued the State Pollutant Discharge Elimination System (SPDES) General Permit for Stormwater Discharges from Construction Activity (GP-0-20-001). Upon its effective date of January 29, 2020, GP-0-20-001 replaces the previous general permit, GP-0-15-002, which expires on January 28, 2020.

GP-0-20-001 is a five (5) year general permit for discharges of stormwater to surface waters of the State from construction activities as defined in 40 CFR 122.26(b)(14)(x) and (b)(15)(i - ii). This general permit may also authorize discharges of stormwater to groundwater in cases where the NYSDEC has determined that a permit is necessary.

Pursuant to Section 402 of the Clean Water Act (CWA), stormwater discharges from certain construction activities (including discharges through a municipal separate storm sewer system) are unlawful unless they are authorized by a National Pollutant Discharge Elimination System (NPDES) permit or by a state permit program. New York administers the approved SPDES program with permits issued in accordance with the New York State Environmental Conservation Law (ECL) Article 17, Titles 7, 8 and Article 70. An owner or operator of a construction activity must operate under an effective individual SPDES permit, which addresses the stormwater discharges, or obtain coverage under GP-0-20-001.



SUMMARY OF CHANGES

The following is a summary of the changes made from GP-0-15-001 to GP-0-20-001, as well as minor changes made from the draft of GP-0-20-001 to the issued GP-0-20-001 in response to comments received during the public notice period.

Effluent Limitation Guidelines Applicable to Discharges from Construction Activities:

As required by 40 CFR 450.21, GP-0-20-001 includes additional criteria under "Erosion and Sediment Controls" (Part I.B.1.a.) to comply with Effluent Limitation Guidelines (ELGs) promulgated in 2015. The changes are consistent with recent updates the United States Environmental Protection Agency (EPA) made to its 2017 General Permit for Stormwater Associated with Construction Activities, modified in June 2019. Peak flow rates and total stormwater volume are included in the "Control stormwater discharge" requirement (Part I.B.1.a.ii). The second change is the requirement on "Minimizing Dust" (Part I.B.1.a.ix).

The ELGs apply primarily to the selection, design, and implementation of the erosion and sediment controls (i.e. during construction controls) to be used on the site. These non-numeric effluent limits require an owner or operator to minimize the discharge of pollutants through the selection, design and implementation of erosion and sediment control measures. As unchanged in GP-0-20-001, the term "minimize" means to reduce and/or eliminate to the extent achievable using control measures that are technologically available and economically achievable and practicable in light of best industry practice.

Eligibility Requirements - Non-stormwater Discharges (Part I.E.3.)

GP-0-20-001 clarifies the non-stormwater discharges that may be authorized the by general permit.

Activities Which Are Ineligible for Coverage Under This General Permit

The following slope designation: "land designated on the current United States Department of Agriculture ("USDA") Soil Survey as Soil Slope Phase of "D", (provided the map unit name is inclusive of slopes greater than 25%) is included in Part I.F.6. and 7. of the ineligibility criteria in GP-0-20-001. This designation addresses additional "steep slope" areas (i.e. slopes greater than or equal to 25%) that are in some of the mountain areas across New York State. This criterion is necessary because of the increased potential for erosion from construction on the steep slopes. The higher level of oversite will reduce the potential for an erosion problem, and therefore, is more protective of water quality.



Notice of Intent (NOI) Submittal

GP-0-20-001 allows for the use of either the electronic Notice of Intent (eNOI) or paper version until December 21, 2020. Beginning December 21, 2020, in accordance with 40 CFR Part 127 (EPA's 2015 NPDES Electronic Reporting Rule), the owner or operator must submit the NOI electronically using the Department's online NOI.

Permit Authorization

GP-0-20-001 clarifies the requirement for the owner or operator to obtain any "Department certifications," regardless of the issuing New York State agency, prior to their authorization under the general permit being in effect. This is consistent with how the Department has implemented this condition in the past.

Change of Owner or Operator

GP-0-20-001 includes a requirement for the owner or operator to notify the regulated, traditional land use control Municipal Separate Storm Sewer System (MS4), in writing, of change in ownership or operation of a construction activity. This requirement is added to ensure the MS4 is aware of the responsible party for a construction activity that is under its jurisdiction. The timeframe in GP-0-20-001 is consistent with transfer procedures for individual SPDES permits in 6 NYCRR 750-1.17.

General SWPPP Requirements

GP-0-20-001 clarifies that the owner or operator must amend the SWPPP and construction drawings to document the final construction conditions. These amendments/updates can be used by the owner or operator to document compliance with GP-0-20-001 and the SWPPP and for long term operation and maintenance of any post-construction control practices that were constructed as part of the construction activity. This is consistent with how the Department has implemented this condition in the past.

Definitions - Appendix A

The Department made the following changes to assist project owners and their design professionals with SWPPP development and implementation. These changes make GP-0-20-001 more protective than the current permit.

Agricultural Building – GP-0-20-001 includes a definition for "Agricultural Building" to address confusion over EPA's agricultural exemption. This definition will also help clarify SWPPP and permitting requirements for the construction of breweries, cideries and wineries on agriculture land.

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Qualified Inspector – The definition includes individuals that hold a current certificate under the "New York State Erosion and Sediment Control Certificate Program". This certification program was recently developed by New York State Agriculture and Markets with assistance from members of the New York State Conservation District Employees Association.

Regulated, Traditional Land Use Control MS4 – The definition includes the City of New York's Municipal Separate Storm Sewer System. The City of New York is considered a "large MS4" that is authorized to discharge under an individual SPDES Permit (NY-0287890). The owner or operator of a construction activity that discharges to NYC's MS4 system will be required to follow the SWPPP review and acceptance process in order to gain coverage under GP-0-20-001.

Steep Slope – The definition includes *land designated on the current United States Department of Agriculture ("USDA") Soil Survey as Soil Slope Phase "D" (provided the map unit name is inclusive of slopes greater than 25%)*. This slope designation in Parts I.F.6. and 7. of the ineligibility criteria addresses additional "steep slope" areas (i.e. slopes greater than or equal to 25%) that are in some of the mountain areas across New York State.

Trained Contractor - The definition was updated to include individuals that hold a current certificate under the "New York State Erosion and Sediment Control Certificate Program", for the same reason as "Qualified Inspector" above.

GP-0-20-001 includes definitions of the following terms for clarification and consistency with EPA's 2017 Construction General Permit, modified in June 2019:

- Agricultural Property
- Construction Site
- Dewatering
- Embankment
- Endangered of Threatened Species
- Natural Buffer
- Nonpoint Source
- Overbank
- Point Source
- Streambank
- Stormwater Pollution Prevention Plan



Required SWPPP Components by Project Type - Appendix B

Tables 1 and 2 of Appendix B include additional types of construction activity and clarify required SWPPP components for several types of construction activity. The Department made these changes to add clarity on expectations for compliance/implementation with GP-0-20-001.

The clarifications were based on questions the Department received over the last permit term from construction activity owners, design professionals and SWPPP reviewers and comments received during the public notice period.

Table 1 (Erosion and Sediment Controls Only) - Updated table as follows:

- Added "Linear bike paths running through areas with vegetative cover, including bike paths surfaced with impervious cover"
- Added cross-country ski trails and walking/hiking paths
- Added Pond construction
- Added "bike path or walking path", "surfaced with an impervious cover" and "not part of a residential, commercial or institutional development" to the "Sidewalk construction projects" activity
- Deleted "Land clearing and grading for the purpose of creating..." under the vegetated open space activity.
- Added "Sidewalk, bike path or walking path projects, surfaced with an impervious cover, that include incidental shoulder or curb work along an existing highway to support construction of the sidewalk, bike path or walking path"
- Added "Temporary access roads, median crossovers, detour roads, lanes or other temporary impervious areas that will be restored to pre-construction conditions once the construction activity is complete"

Table 2 (Post-Construction Stormwater Management Practices also) – Updated table as follows:

- Added "duplexes" under the "Multi-family" construction activity
- Added "Breweries, cideries and wineries, including establishments constructed on agricultural land"
- Added "water storage tanks" under "Municipal facilities" construction activity
- Added "Playgrounds that include the construction or reconstruction of impervious area"
- Added "including roads constructed as part of the construction activities listed in Table 1" to the "Road construction or reconstruction" activity
- Added "including parking lots constructed as part of the construction activities listed in Table 1" to the "Parking lot construction or reconstruction" activity
- Added "Sidewalk, bike path or walking path projects, surfaced with an impervious cover, that are part of a residential, commercial or institutional development,
- Added "Sidewalk, bike path or walking path projects, surfaced with an impervious cover, that are part of a highway construction or reconstruction project

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303(d) Segments for Construction Activity - Appendix E

 Appendix E of GP-0-20-001 includes the 2016 NYS Section 303(d) list of Impaired/TMDL Waters (2016 List) for waterbody segments impaired by silt, sediment or nutrients. The list of impaired waterbodies identifies the impairment for each of the waterbodies and includes all appropriate waterbodies.



Contracted Entity SWMP Compliance Certification Statement

I certify under penalty of law that I understand and agree to comply with the terms and conditions of the County of Albany's stormwater management program and agree to implement any corrective actions identified by the County of Albany or a representative. I also understand that the County of Albany must comply with the terms and conditions of the New York State Pollutant Discharge Elimination System ("SPDES") general permit for stormwater discharges from the Municipal Separate Storm Sewer Systems ("MS4s") and that it is unlawful for any person to directly or indirectly cause or contribute to a violation of water quality standards. Further, I understand that any non-compliance by the County of Albany will not diminish, eliminate, or lessen my own liability.

By:	Date:
Signature	
Name:	
Title:	
Contractor Name:	

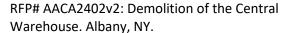
RFP# AACA2402v2: Demolition of the Central Warehouse. Albany, NY.



Exhibit D - Bidder Responsibility Forms

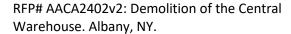
Bidder Responsibility. Pursuant to State Finance Law §§139-j and 139-k, this request for proposals ("RFP") includes and imposes certain restrictions on communication between the Advance Albany County Alliance ("Alliance") and an Offeror/bidder during the procurement process. An Offeror/bidder is restricted from making contacts from the earliest notice of intent to solicit offers (in this instance, the release of this RFP) through final award and approval of the resultant contract by the Alliance ("restricted period") to other than designated individuals unless it is a contact that is included among certain statutory exceptions set forth in State Finance Law §139-j(3)(a). Only email inquiries are allowed. The designated individual for this RFP is Dylan Turek, Senior VP of Development of the Alliance. Alliance employees are also required to obtain certain information when contacted during the restricted period and make a determination of the responsibility of the Offeror/bidder pursuant to these two statutes. Certain findings of non-responsibility can result in rejection for contract award and in the event of two findings within a 4 year period, the offeror/bidder is debarred from obtaining governmental Procurement Contracts. Additionally, the above law requires certain affirmations to be provided to the Alliance by bidders and that certain provisions be contained within any contract/award resulting from this RFP. Specifically, the following forms/information is provided:

- 1. The Alliance must obtain from all Offerors/bidders the required affirmation ("Compliance with NYS Finance Law §139-j") of understanding and agreement to comply with procedures on procurement lobbying restrictions regarding permissible Contacts in the restricted period for a procurement contract in accordance with State Finance Law §§139-j and 139-k. The affirmation is provided below and must be completed and returned to the Alliance when submitting a bid.
- 2. The Alliance must include a disclosure request ("Offeror Disclosure of Prior Non-Responsibility Determinations") regarding prior non-responsibility determination in accordance with State Finance Law §139-k in its solicitation of proposals or bid documents or specifications or contract documents, as applicable, for procurement contracts. The required form is provided below and is to be completed and returned to the Alliance when submitting a bid.
- 3. The Alliance must obtain from all Offerors/bidders a required certification ("Bidder Certification") that the information is complete, true and accurate regarding any prior findings of non-responsibility, such as non-responsibility pursuant to State Finance Law §139-j. The Offeror/bidder must agree to the certification and provide it to the procuring Government Entity. This certification is provided below and must be completed and returned to the Alliance when submitting a bid.
- 4. **New York State Law §139-k(5)** provides that every procurement contract award subject to the provisions of State Finance Law §§139-k and 139-j shall contain a provision authorizing the Alliance to terminate the contract in the event that the certification is found to be intentionally false or intentionally incomplete. An example of such language is provided below:





The Alliance reserves the right to terminate this contract in the event it is found that the certification filed by the Bidder in accordance with New York State Law §139-k was intentionally false or intentionally incomplete. Upon such finding, the Alliance may exercise its termination right by providing written notification to the Bidder in accordance with the written notification terms of this contract.





a. Compliance with NYS Finance Law §139-j.

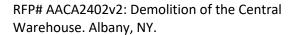
Offeror affirms that it understands and agrees to comply with the procedures of the APA relative to permissible Contacts as required by State Finance Law §139-j.

By:	Date:
Signature	
Name:	_
Title:	-
Contractor Name:	



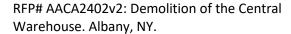
b. Offeror Disclosure of Prior Non-Responsibility Determinations.

Name	of Individu	ual or Entity Seeking to Enter into the Procurement Contract:
Addre	ss:	
Name	and Title c	of Person Submitting this Form:
Date:		
A.		Governmental Entity made a finding of non-responsibility regarding the individual or eking to enter into the Procurement Contract in the previous four years? (Please circle):
	No	Yes
В.		ease answer the following questions: Was the basis for the finding of non-responsibility violation of State Finance Law §139-j? (Please circle):
	No	Yes
C.		basis for the finding of non-responsibility due to the intentional provision of false or ete information to a Governmental Entity? (Please circle):
	No	Yes
D.	•	swered yes to questions A thru C, please provide details regarding the finding of non-bility below.
Go	vernment	al Entity:
Da	ite of Findi	ing of Non-responsibility:
Ва	sis of Find	ing of Non-Responsibility:
(A	dd additio	nal pages as necessary)
E.	Procurer	Governmental Entity or other governmental agency terminated or withheld a ment Contract with the above-named individual or entity due to the intentional of false or incomplete information? (Please circle):
	No	Yes
F.	If yes, plo	ease provide details below.
Go	vernment	al Entity:
Da	ite of Term	nination or Withholding of Contract:





Basis of Termination or Withholding:		

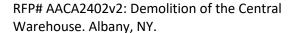




c. Bidder Certification.

I certify that all information provided to the AACA with respect to State Finance Law §139-k is complete, true and accurate.

Ву:	Date:
Signature	
Name:	
Title:	
Contractor Name:	





d. Non-collusion statement.

Pursuant to Public Authority Law §2878, each Bidder must complete the attached "NON-COLLUSION PROPOSAL CERTIFICATE PURSUANT TO NEW YORK STATE PUBLIC AUTHORITY LAW § 2878" and submitted with the bidder's response to this RFP.



(3)

Exhibit E - Non-Collusion Certification

NON-COLLUSION PROPOSAL CERTIFICATE PURSUANT TO NEW YORK STATE PUBLIC AUTHORITY LAW § 2878

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto, certifies as to its own organization, under penalty of perjury, that to the best of knowledge and belief:

- (1) The prices in the bid have been arrived at independently, without collusion, consultation, communication or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other proposer or with any competitor.
- (2) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the proposer and will not knowingly be disclosed by the proposer prior to the opening, directly or indirectly, to any other proposer or to any competitor; and

No attempt has been made or will be made by the proposer to induce any other person,

partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

(date)	(signature)
	(printed name and title)
	(name of firm)
and acknowledged	

Notary Public